

# ADVANCES IN RF PLANAR FILTER TECHNOLOGIES

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# Backgrounds

R&D of advanced RF planar filters are driven by applications -

- ☐ **Wireless communications**
- ☐ **Wireless sensor/radar systems**
- ☐ .....

In connection with technologies -

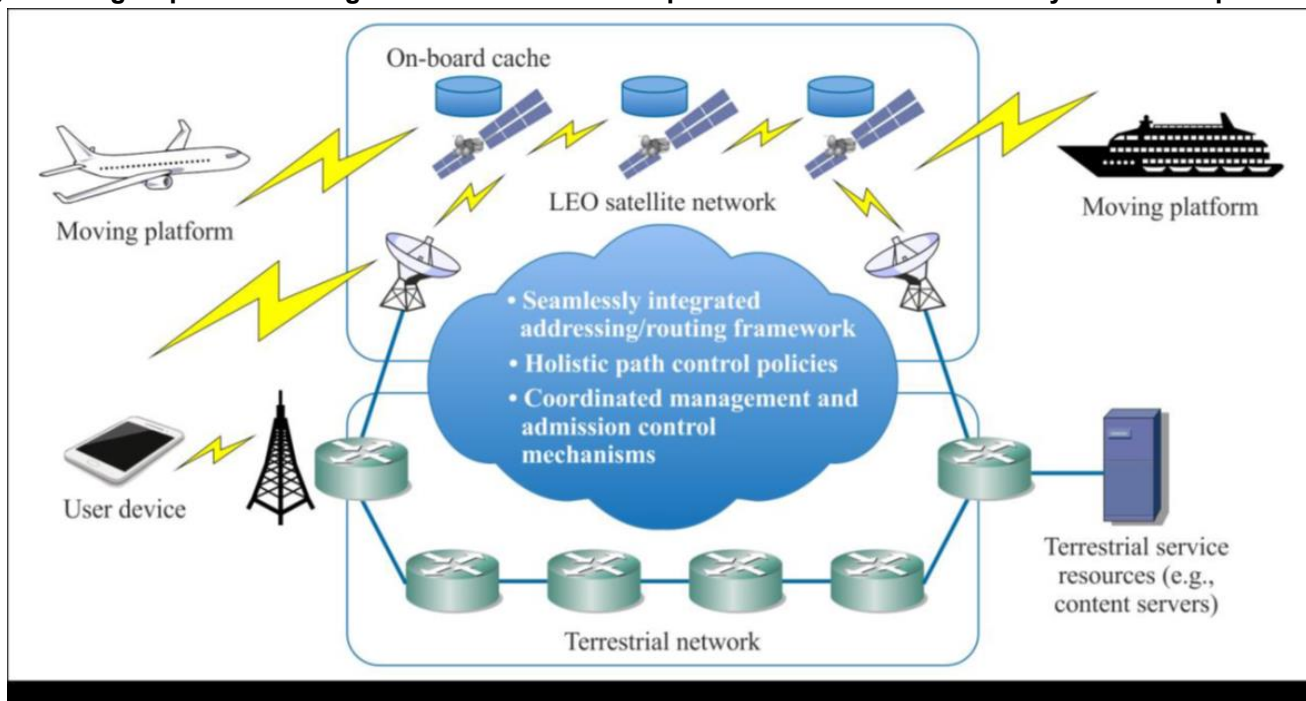
- ☐ **Planar (PCB, LTCC, LCP, ...)**
- ☐ **Micromachining, additive manufacturing, ...**
- ☐ **Functional materials (semiconductor, ferroelectric, ...)**
- ☐ .....

# Backgrounds

**Satellite communications** will be an essential part of the 6G infrastructure to ensure seamless access to any telecommunications service anytime and anywhere. Service providers will need to provide seamless connectivity between terrestrial and satellite.

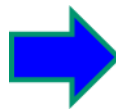
## Example of LEO satellites and terrestrial Internet integration

From: ITU-T, “Focus group on technologies for network 2030: Representative use cases and key network requirements,” February 2020.



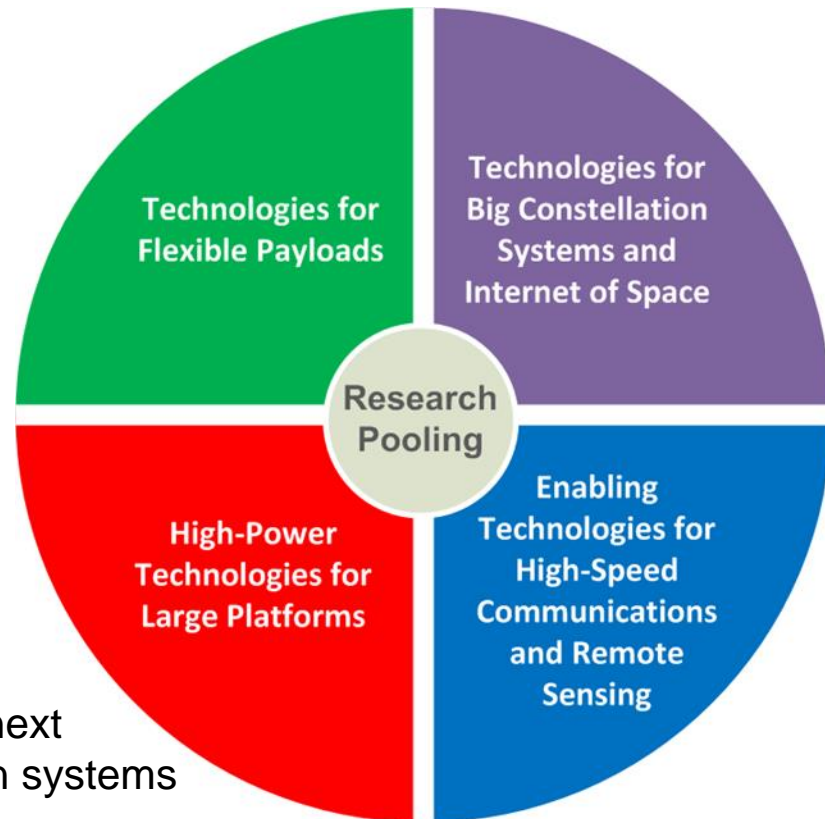
**TESLA** (<https://tesla-itn.hw.ac.uk/>) a consortium of 8 academic universities, 11 industrial partners, 15 Early Stage Researchers with EC funding of ~4 million Euro, focus on R&D of the *Advanced Technologies for future European Satellite Applications* from 2019-2022.

Project Coordinator: Prof. Jiasheng Hong



R&D topics include –

- Integrated beamforming network based on Active Phased Array
- Millimeter wave hardware for the next generation satellite communication systems
- Novel technologies for miniaturized passive components and sub-systems with tuning capabilities
- .....



# Backgrounds

## Challenges for RF Planar Filters –

- ✓ Small footprint
- ✓ Low cost
- ✓ High performance
- ✓ More functionalities

To meet these challenges for a specific application, in addition to a proper technology, novel design and implementation are also required.



Advances in Planar Filters  
Design

Edited by  
Jiasheng Hong



# Advanced Planar Filters I

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ESA Contract Frame:

Basic Technology Research Programme (TRP)

Subject Title:

Techniques for ultra wide stopband IF filter

Project Objective:

To develop new design techniques, concepts and filtering configurations for IF filters with compact footprint, ultra-wide stopband as well as equivalent high-Q performance (i.e. low insertion loss variation and high selectivity by using low-Q resonators).

# Advanced Planar Filters I

Challenging!!

## ESA SoW IF Filter Specifications

BB1_250MHz			
PARAMETERS	REQ.	UNIT	COMMENTS
Central Frequency ( $C_{IF}$ )	1000	[MHz]	
Channel Bandwidth	250	[MHz]	
Insertion Loss at $C_{IF}$	TBA	[dB]	
<b>Insertion Loss versus Frequency</b>			
$C_{IF} \pm 70$ MHz	<0.25	[dBpp]	<0.15
$C_{IF} \pm 100$ MHz	<0.6	[dBpp]	<0.3
$C_{IF} \pm 120$ MHz	<1.5	[dBpp]	<1.0
<b>Narrowband isolation</b>			
$C_{IF} \pm 150$ MHz	>20	[dB]	
$C_{IF} \pm 400$ MHz	>40	[dB]	
<b>Group Delay Variation</b>			
$C_{IF} \pm 70.0$ MHz	<2.0	[nspp]	
$C_{IF} \pm 100.0$ MHz	<6.0	[nspp]	
$C_{IF} \pm 120$ MHz	<20.0	[nspp]	
<b>Out-of-band rejection</b>			
$C_{IF} + 400$ MHz to $C_{IF} + 1500$ MHz	>30	[dB]	>40
$C_{IF} + 1500$ MHz to $C_{IF} \times 10$	>40	[dB]	
Input Return Loss MHz	$C_{IF} \pm 120$	TBD	[dB] Goal – 20 dB
Output Return Loss	$C_{IF} \pm 120$ MHz	TBD	[dB] Goal – 20 dB
<b>Non-Operating Temperature Range</b>			
	[–40, +75]	[°C]	
<b>Operating Temperature Range</b>			
	[–10, +60]	[°C]	
<b>Footprint</b>	20x20	mm	To be minimized
<b>Mass</b>	15	g	To be minimized
<b>Interface</b>		-	TBD

Low insertion  
loss variation

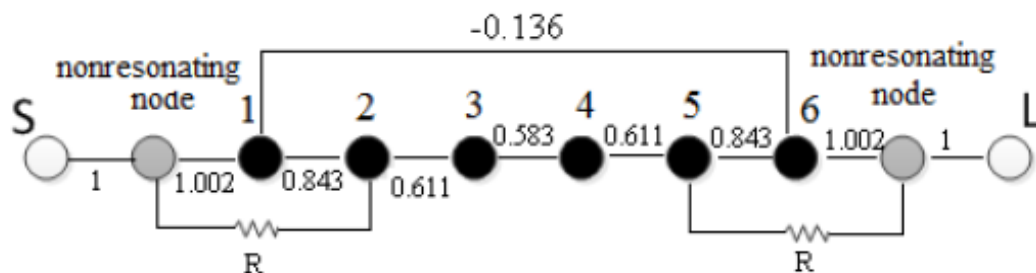
High selectivity

Ultra-wide  
stopband

Compact size  
and low weight

# Advanced Planar Filters I

## Novel design:

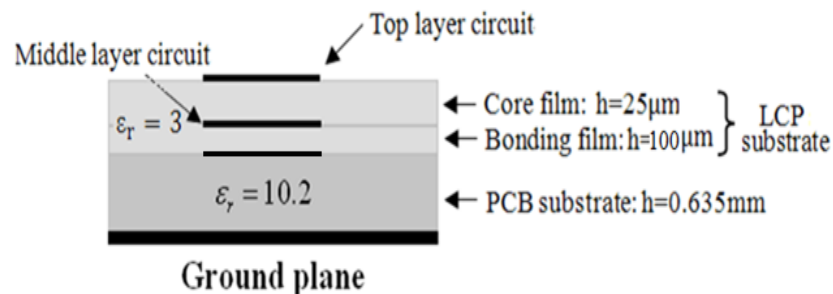
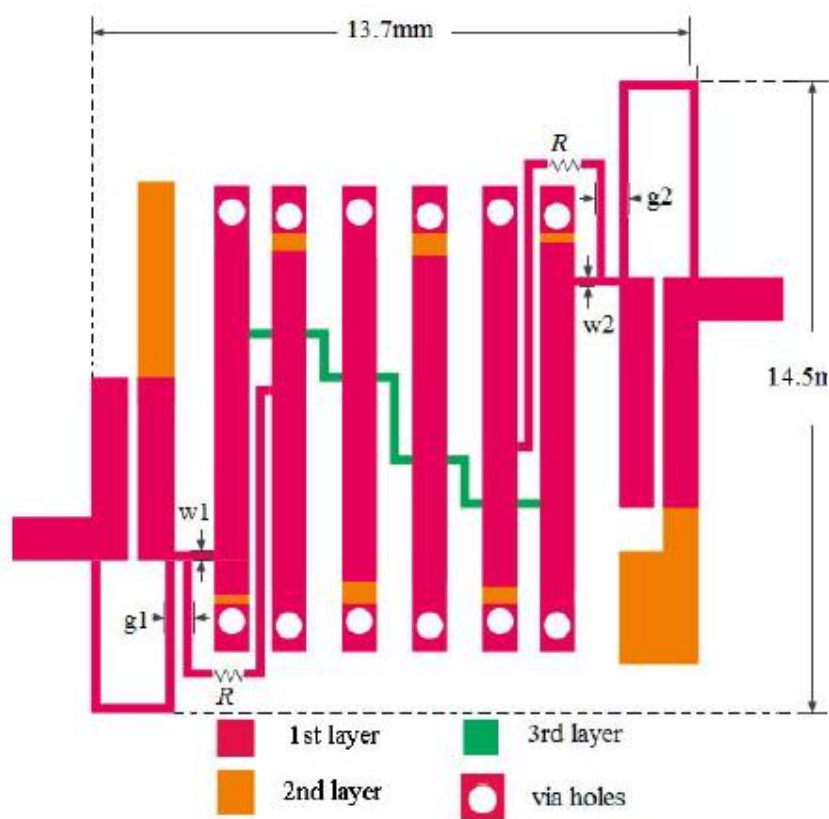


- ✓ Double-layer strongly coupled miniature resonators: for size reduction as well as harmonic suppression.
- ✓ Interdigital couplings between adjacent resonators: to realize a wide passband bandwidth.
- ✓ Cross coupling: to create transmission zeros near the passband to improve the selectivity.
- ✓ Resistive cross coupling (RCC) : to reduce the passband insertion loss variations.

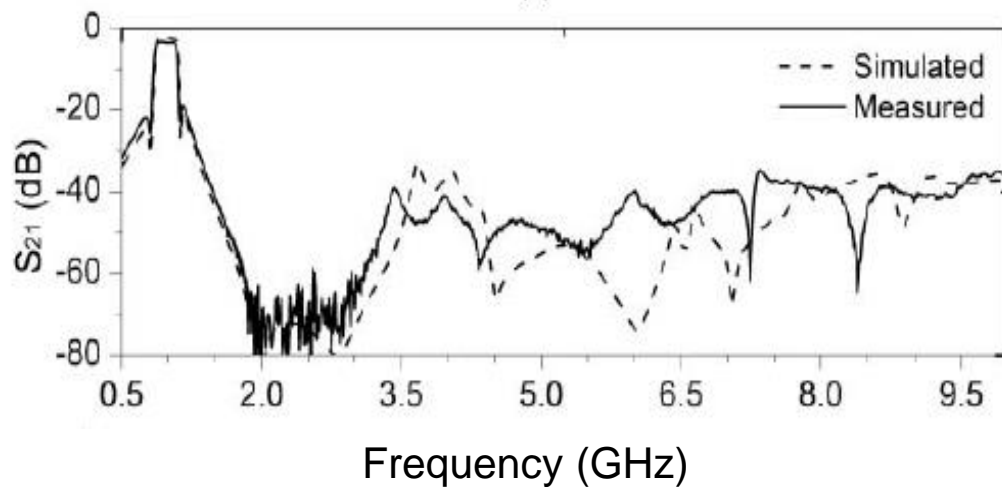


# Advanced Planar Filters I

## Novel implementation:



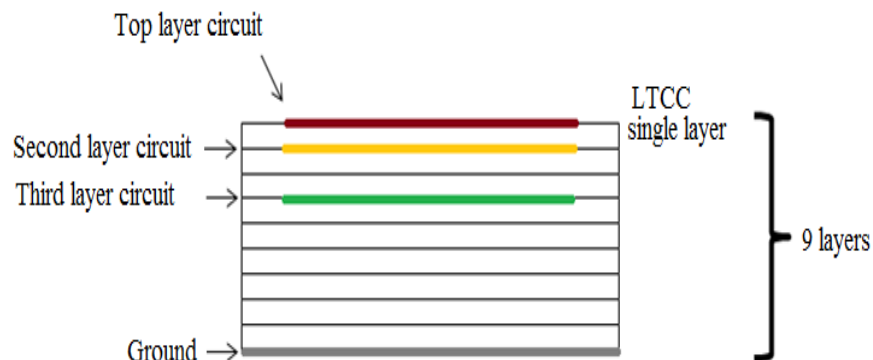
LCP boned PCB multilayer (< 1mm)



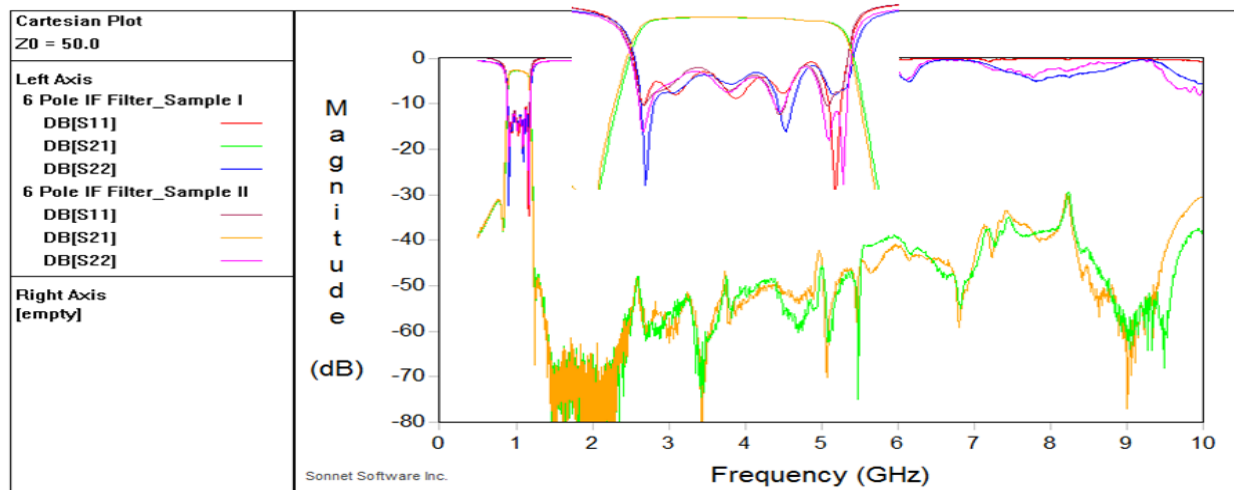
Footprint < 15 mm by 15 mm

# Advanced Planar Filters I

## Novel implementation on LTCC:



Footprint < 15 mm by 15 mm. thickness < 1mm



Measured  
performance

# Advanced Planar Filters II

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## ESA Contract Frame:

Basic Technology Research Programme (TRP)

## Subject Title:

**Compact Tunable IF Filter**

## Project Objective:

To develop new design techniques, concepts and filtering configurations for tunable IF filters with compact footprint, wide bandwidth tuning range as well as equivalent high- $Q$  performance (i.e. low insertion loss variation and high selectivity by using low- $Q$  resonators).

# Advanced Planar Filters II

Challenging!!

## ESA SoW Compact Tunable IF Filter Specifications

Wide bandwidth  
tuning range (14:1)

Low insertion  
loss variation

High selectivity

PARAMETERS	PRO.	UNIT	COMMENTS
Central frequency ( $C_{IF}$ )	TBD	MHz	
Channel Bandwidth	From 36 to 500MHz	MHz	
Insertion Loss at $C_{IF}$	TBA	dB	
Insertion loss versus Frequency			
$C_{IF} \pm 30\% BW$	<0.4	dBpp	
$C_{IF} \pm 40\% BW$	<0.6	dBpp	
$C_{IF} \pm 50\% BW$	<1	dBpp	
Narrowband isolation			
$C_{IF} \pm 70\% BW$	$C_{IF} \pm 80\% BW > 20$	dB	
$C_{IF} \pm 160\% BW$	>40	dB	
Group Delay Variation			
$C_{IF} \pm 30\% BW$	<2	nspp	
$C_{IF} \pm 40\% BW$	<6	nspp	
$C_{IF} \pm 50\% BW$	<15.0	nspp	
Input Return Loss $C_{IF} \pm 50\% BW$	TBD	dB	GOAL -20dB
Output Return Loss $C_{IF} \pm 50\% BW$	TBD	dB	GOAL -20dB
Operating Temperature Range	[-10, 65]	°C	
Footprint	TBA	mm	To be minimized
Mass	TBA	g	To be minimized
Interface			TBD

# Advanced Planar Filters II

## Novel design

- ✓ Centrally loading resistors: flatten the passband insertion loss (patented) and/or absorb harmonic resonances.
- ✓ Combinations of Pin and varactor diodes offer a wide range of coupling coefficient for bandwidth tuning
- ✓ Cross coupling between the second and fifth resonators: create transmission zeros near the passband to improve the selectivity and could be switched on/off at different states
- ✓ Pin diode attenuator is used to offer tunable resistance to flatten the passband at different bandwidth

# Advanced Planar Filters II

## Novel technique for flattening passband

### A RADIO FREQUENCY PASS-BAND FILTER



WIPO | PCT

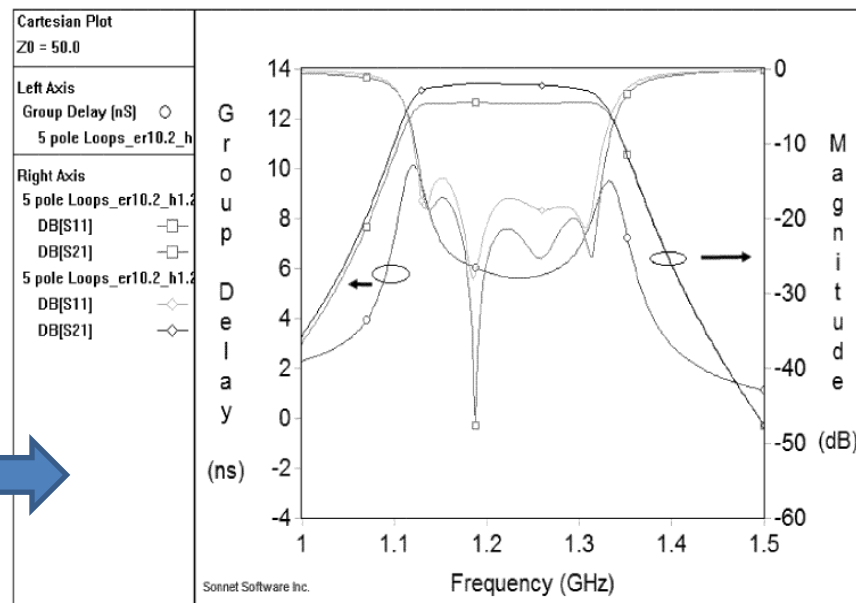
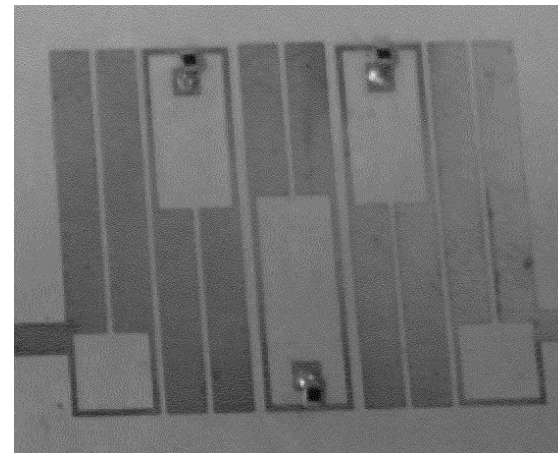


(10) International Publication Number  
**WO 2020/057722 A1**

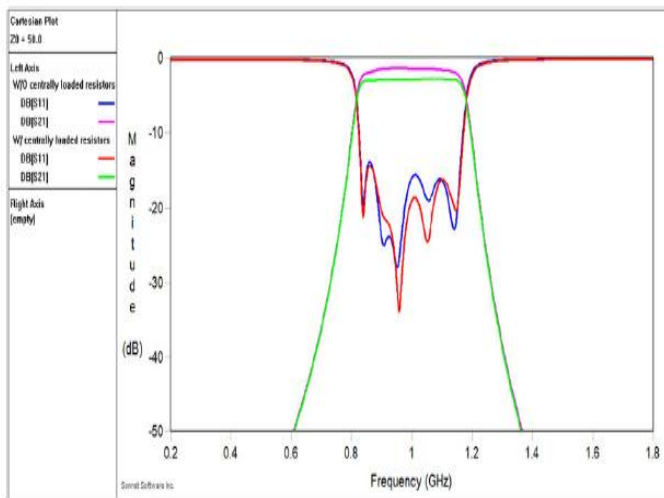
**Applicant:** EUROPEAN SPACE AGENCY [NL/NL];  
Technology Transfer Programme Office, Keplerlaan 1,  
2200 AG Noordwijk (NL).

**Inventors:** **HONG, Jiasheng**; School of Engineering  
and Physical Sciences, Heriot-Watt University, Edinburgh  
EH14 4AS (GB). **NI, Jia**; School of Engineering and Phys-  
ical Sciences, Heriot-Watt University, Edinburgh EH14  
4AS (GB). **MARTIN-IGLESIAS, Petronilo**; C/o Euro-  
pean Space Agency, Keplerlaan 1, 2200 AG Noordwijk  
(NL).

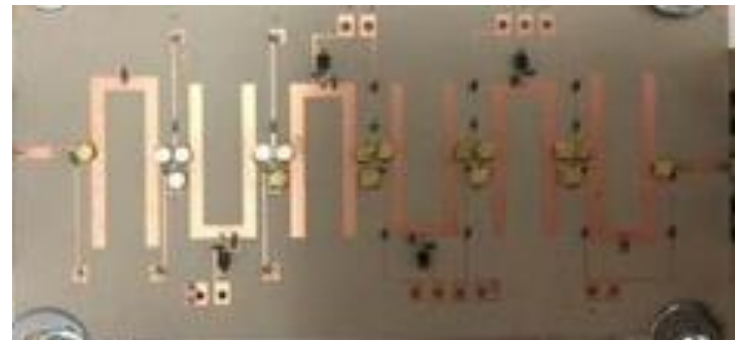
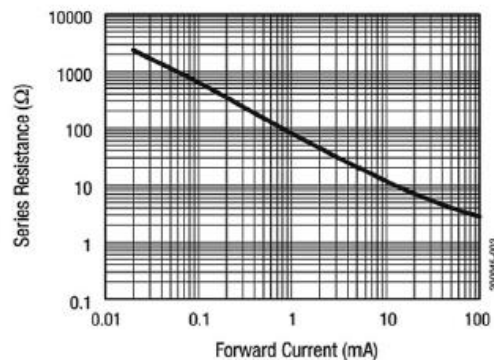
With an average unloaded quality factor  $Q_u$  of 100,  
the flattened passband response shape has an  
equivalent  $Q_u$  of 600.



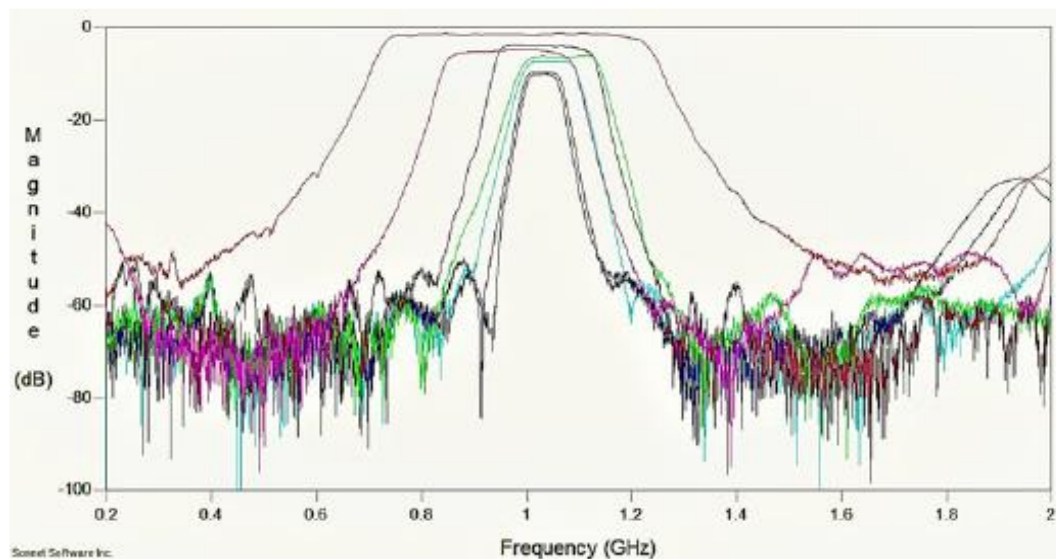
# Advanced Planar Filters II



Centrally loaded variable resistors (pin) on improving passband flatness of the proposed tunable filter



Circuit size 70x32 (mm)



Measured tunable bandwidth performance

# Advanced Planar Filters III

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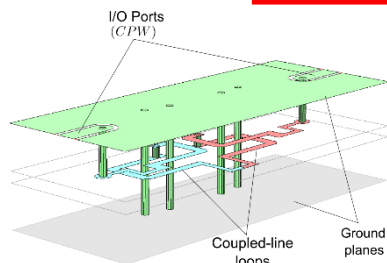
**What needed** from-  
**ESA Advanced Technology Statement of Work**  
***Substrate embedded filters***  
**for microwave equipment**

The size of the RF module is often limited by the physical size of the filters that are needed to remove all of the unwanted signals. The objective of this activity is to design, develop and test L- to Ka-band filters directly embedded in the substrate (PCB and/or LTCC) of microwave equipment.



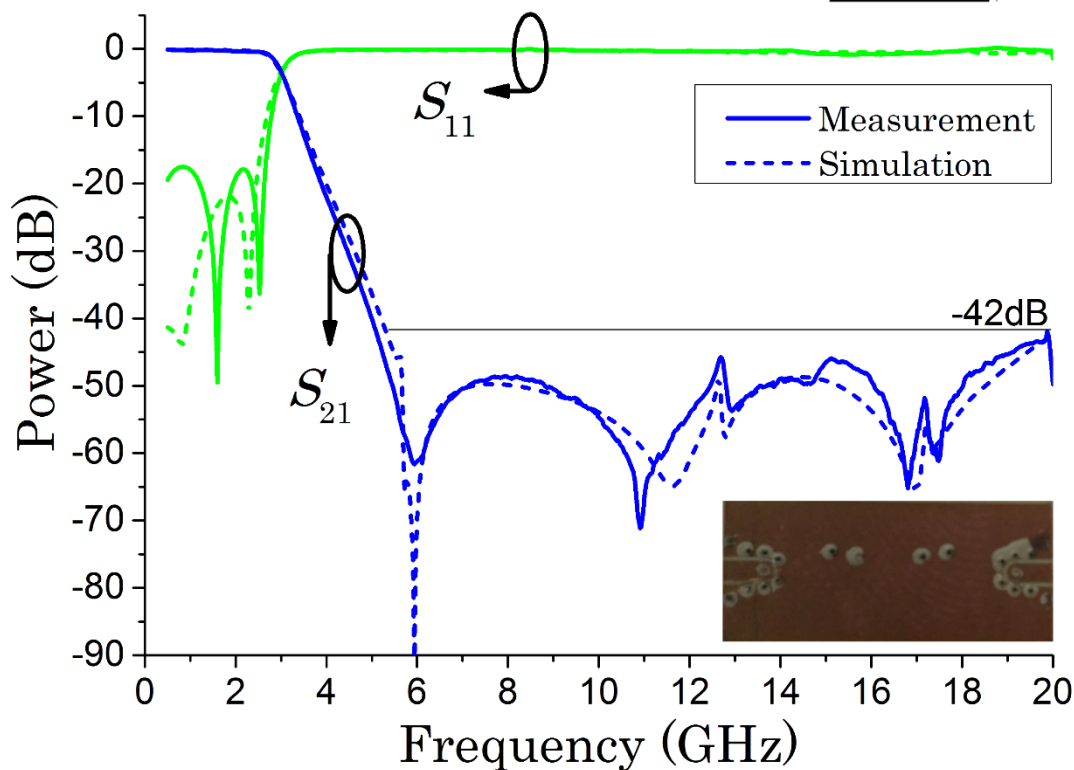
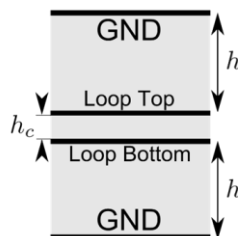
# Advanced Planar Filters III

## Self-Packaged Lowpass Filter



$$hc = 25 \mu\text{m}$$

$$h = 0.2 \text{ mm}$$



### Response parameters

Cut-off frequency ( $f_c$ ) 3 GHz

Ripple Bandwidth (15dB) 2.7 GHz

Return Loss >17.6 dB

Insertion Loss @2.5GHz 0.33 dB

Rejection Bandwidth (42dB) 5.1- >20 GHz  
(5.1- 23 GHz)\*

### Mechanical parameters

Filter size 8.4x4.2 mm<sup>2</sup>

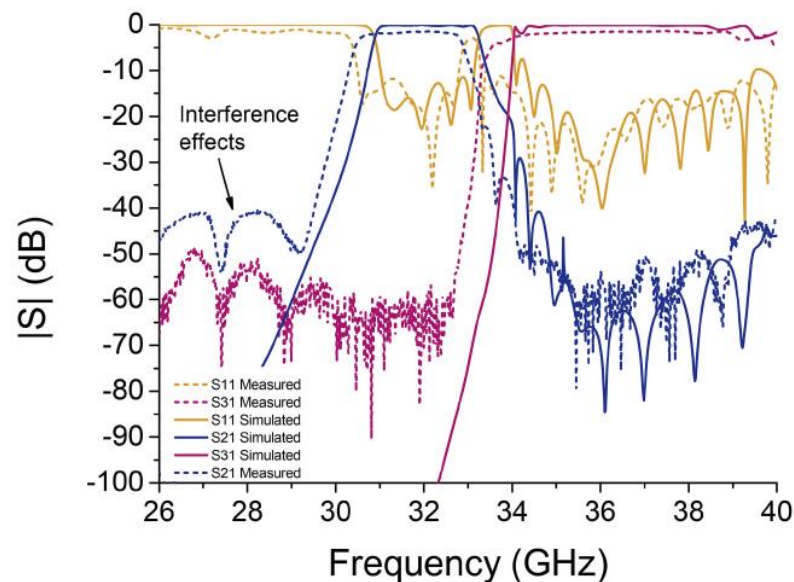
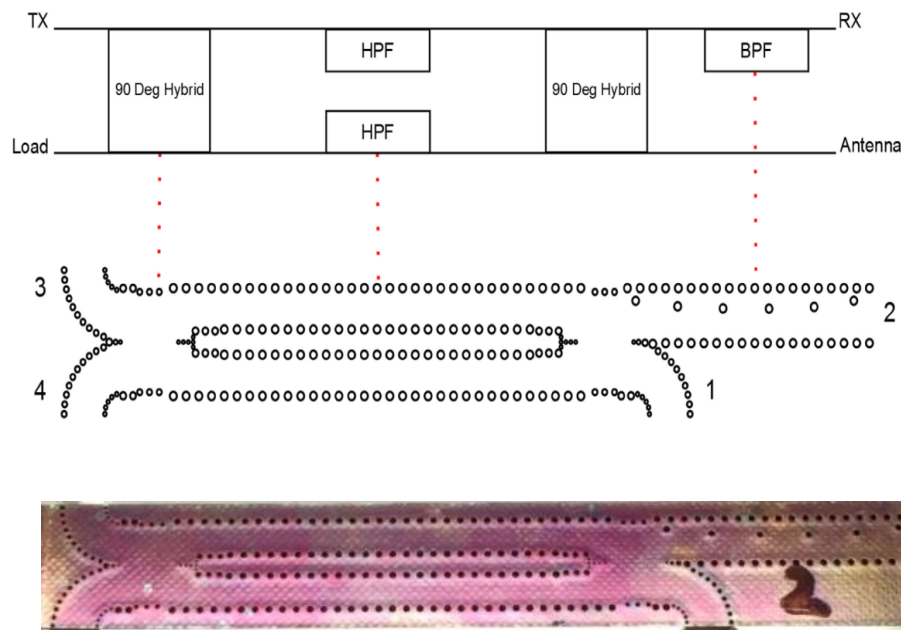
Filter size (w/packaging) 16.4x6.6 mm<sup>2</sup>

Weight 0.12 g.

Thickness 0.45 mm

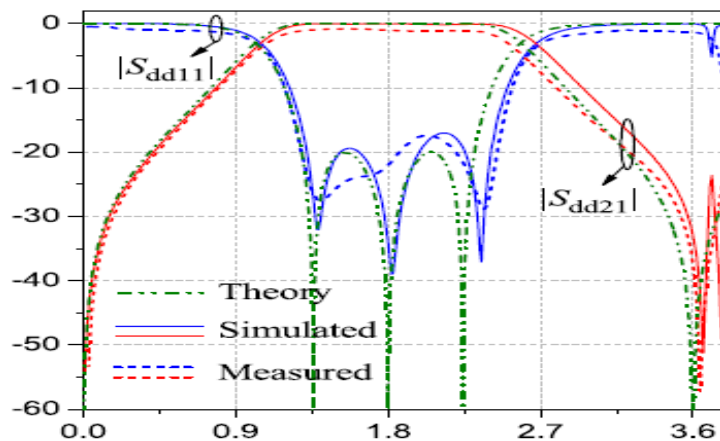
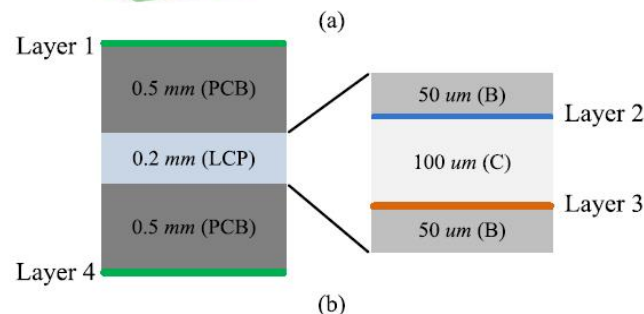
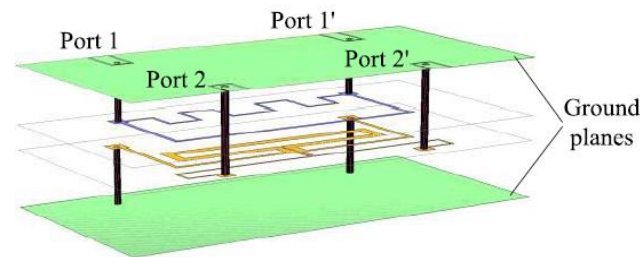
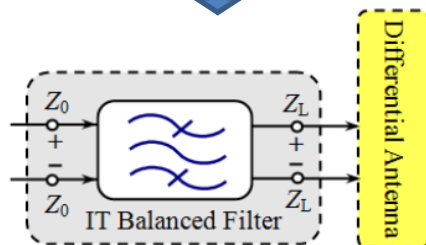
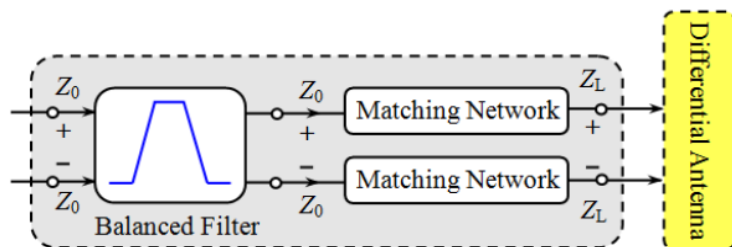
# Advanced Planar Filters III

## Substrate-Integrated Waveguide (SIW) Ka-band Diplexer



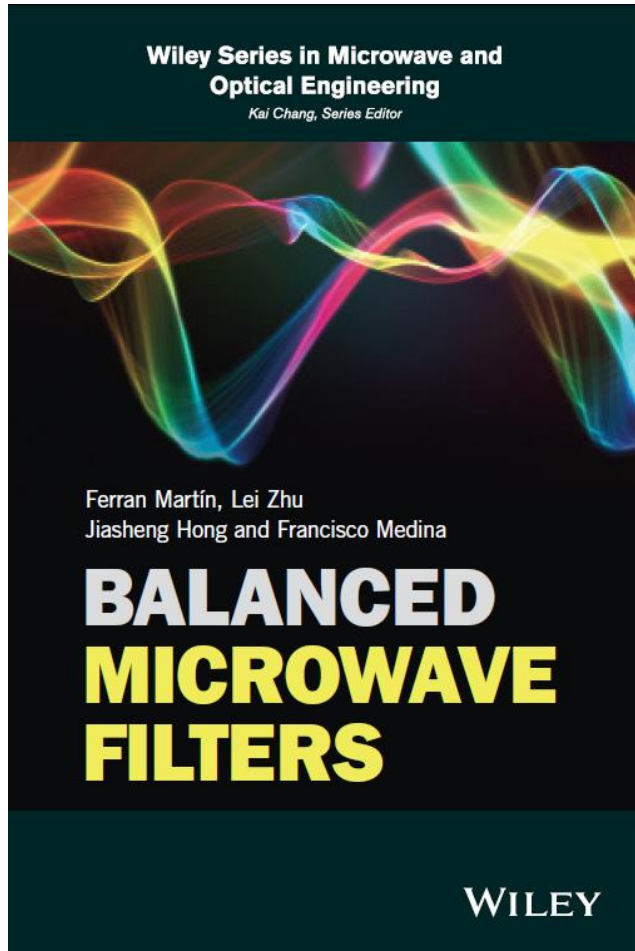
# Advanced Planar Filters IV

## Self-Packaged Balanced Bandpass Filters With Impedance Transformation Characteristic



85% 3-dB bandwidth at the central frequency of 1.8 GHz with 50–200 impedance transformation and more than 30 dB common-mode rejection. The size is  $0.19 \times 0.37 (\lambda_g)$  at the centre frequency

# Advanced Planar Filters IV



This new book presents and discusses strategies for the design and implementation of common-mode suppressed balanced microwave filters, including narrowband, wideband, ultra-wideband and multiband filters.

Thank you !

### References

- [1] J. Hong, *Microstrip Filters for RF/Microwave Applications*, 2<sup>nd</sup> ed., Wiley, 2011.
- [2] J. Ni ; J. Hong ; P. M. Iglesias, “Compact Microstrip IF Lossy Filter With Ultra-Wide Stopband”, IEEE Transactions on Microwave Theory and Techniques, Vol.66, No. 10, 2018
- [3] J. Ni ; J. Hong ; P. M. Iglesias, “Compact bandwidth tunable IF filters for reconfigurable converters”, International Journal of Microwave and Wireless Technologies 1–12. <https://doi.org/10.1017/S1759078721000714>, 2021
- [4] J. R. Aitken and J. Hong, “Millimetre wave SIW diplexer with relaxed fabrication tolerances”, Ch. 12 in *Advances in Planar Filters Design*, Jiasheng Hong (Ed), IET 2019
- [5] Huang, F., Aliqab, K., Wang, J., Hong, J. & Wu, W., “Self-packaged balanced bandpass filters with impedance transformation characteristic”, IEEE Transactions on Microwave Theory and Techniques. 67, 11, p. 4353-4361, 2019